

### Article



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Abstract: Cyclodextrins (CDs) are very promising drug carriers that are used in medicine. We 13 chose CDs with different substituent (polar/apolar, charged/neutral) to obtain polymers (CDpols) 14with different properties. CDpols are urethanes with the average Mw ~120 kDa, they form nano-15 particles 100-150 nm in diameter with variable ζ-potential. We studied CD' and CDpols' interaction 16 with model (liposomal) and bacterial membranes. Both types of CD carriers cause the increase of 17 the liposomal membrane permeability, and for polymers this effect is almost 2 times stronger. The 18 formation of CD/CDpols complexes with levofloxacin (LV) enhances LV's antibacterial action 2 19 times in vitro on 5 bacterial strain. The most pronounced effect was determined for LV-CD com-20 plexes. LV-CDs and LV-CDpols adsorb on bacteria, and cells' morphology influence this process 21 dramatically. According to TEM studies «rough» surface and proteinaceous fimbria of 22 gram-negative *E* . *coli* faciliate adsorption of CD particles, whereas smooth surface of gram-positive 23 bacteria impedes it. In comparison with LV-CDs LV-CDpols are adsorbed 15% more effectively by 24 E.coli, 2.3 times better by lactobacilli and 5 times better in case of B. subtilis. CDs' and CDpols' are 25 not toxic for bacterial cells, but may cause mild defects that, in addition to LV-CD carrier ad-26 sorbtion, improve LV's antibacterial properties. 27

Keywords: cyclodextrin; levofloxacin; liposome; spectroscopy; antibacterial activity;

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## 1. Introduction

A large number of infectious diseases require complex, long-term medication 31 regimens. Due to the low efficacy and poor bioavailability of some drugs, the stand-32 ard course of treatment may require high dosage therapy, poteantially causing dangerous side effects [1,2]. Thus, the development of new drug formulations using 34 drug delivery systems with increased bioavailability and safety is of great benefit to 35 the society. 36

FDA approved oligosaccharides cyclodextrins (CDs) to design new drug delivery systems. CDs are low cost, possess high solubility, and can be administrated in various ways [3]. The modification of CD's hydroxyl groups by amination, alkyla-39

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tion, etc. widens the perspective of CDs' usage, and nowadays not the native CDs, 40 but their derivatives, are in the spotlight [4]. CDs consist of D-glucopyranose units 41 that form a truncated torus with a hydrophilic surface and a hydrophobic cavity. The 42 hydrophobic cavity enables CDs to encapsulate hydrophobic drug molecules (or 43 their fragments), forming non-covalent inclusion «guest-host» complexes. Complex 44 formation leads to the improvement of drug's physical-chemical properties: the in-45 crease of its solubility, stability and bioavailability [5–8]. 46

The modern trend in CDs' chemistry is the synthesis of polymers-based on CD's 47 derivatives (CDpols) by various likers: epichlorohydrin [9,10], diisocyanate [11,12], 48 carbonyldiimidazole [13], etc. CDpols have clear advantage: cargo molecules form a 49 complex with the CDs torus, and, in addition, «get stuck» in a polymer's network. 50 This makes CDpols carriers promissing as drug delivery systems with sustained 51 drug release [12,14]. 52

Commonly, the authors study the physical-chemical properties of drug-CD or 53 drug-CDpol complexes [15,16], however the interaction of such systems with bio-54 logical structures, for instance, membranes, remains in consideration. It is known 55 that CDs do not penetrate through the biological barriers, but can adhere to the cell's 56 surface [17]. Nevertheless, how one can predict CD-based carrier's interaction with 57 membranes? Since the large number of available CDs with different substituents, it is 58 important to determine the most promising carriers for drug delivery. Recently, we 59 have demonstrated that CDs cause mild defects in liposomal membrane [18], but it is 60 not clear how significant are these in vitro observed defects in terms of drug's anti-61 bacterial activity? 62

Here, we study the interaction between CD based drug carriers (CDs and 63 CDpols) with liposomal and bacterial membranes in order to establish the effect of 64 CD's on the antibacterial activity of drugs in vitro and the mechanism of such case. 65 The purpose of this work is to establish the most promising carrier and determine the 66 specific parameters of bacterial membrane that must be taken into account while de-67 signing the novel CD based drug delivery systems. 68

### 2. Materials and Methods

# 2.1 Materials

2-hydroxypropyl β-cyclodextrin (HPCD), methyl β-cyclodextrin (MCD), 71 phenolphthalein levofloxacin (LV), (PP), Triton X-100. 72 1,6-hexamethylenediisocyanate, dimethyl sulfoxide (DMSO), are all from Sig-73 ma-Aldrich (USA). Sulfobutyl ether  $\beta$ -cyclodextrin sodium salt (SBECD) is from Zibo 74 Qianhui Biotechnology Co. (China). Amino  $\beta$ -cyclodextrin (AMCD) was purchased 75 from Shandong Binzhou Zhiyuan (China). Dipalmitoylphosphatidylcholine (DPPL) 76 and cardiolipin (CL; 1,3-bis(sn-3'-phosphatidyl)-sn-glycerin) were purchased from 77 Avanti Polar Lipids (USA). HCl, copper (II) sulfate, ethanol, and acetone were all 78 purchased from Reakhim (Russian Federation). Sodium phosphate buffer tablets for 79 solution preparation were obtained from Pan-Eco (Russian Federation). Lead citrate 80 is from Serva (Germany). Sodium cacodylate, osmium tetroxide, and phos-81 phor-tungstic acid were all purchased from SPI (USA). 82

Bacterial strains: Gram-negative bacterial strains Escherichia coli MH1 [19] M. 83 Hall, USA), E. coli JM109 [20] (J. Messing, USA), E. coli ATCC 25922 and Bacillus sub-84 tilis ATCC 6633 (from the Russian collection of industrial microorganisms National Research Institute «Kurchatov Institute»), Lactobacillus fermentum 90T-C4 (from «Mi-86 crogen», Russian Federation). 87

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### 2.2 CDpol's synthesis

The synthesis of CDpols was performed according to [21]. Briefly, an warm 92 aqueous solution of 5-7 mg/mL HPCD, MCD, SBECD or AMCD was mixed with 93 DMSO for 5 min. The linker's solution (16,8 mg/mL of 1,6-hexamethelendiisocyanate 94 in DMSO) was added dropwise upon intense stirring. The molar ratio CD derivative 95 : linker was 1 : 3. The final solution (H<sub>2</sub>O : DMSO ratio was 1:1 vol/vol) was exposed 96 for 3 h at 25°C. The purification of 5 mL of each sample from organic solvent was 97 conducted by dialysis (MWCO Serva 3.5 kDa membrane) for 24 h at 37°C with in-98 tense shaking and periodic renewal of the external solution (500-600 mL of distilled 99 water). The aqueous solutions were frozen at  $-18^{\circ}$ C for 12 h, then were stored at 100  $-70^{\circ}$ C for 24 h and were lyophilized for 72 h at  $-60^{\circ}$ C by a freeze-dryer Thermo Sci-101 entific (USA). The concentration of CD-torus in CDpols was determined by FTIR 102 spectroscopy in accordance with the intensity of the band in the region 1050-1030 103 cm<sup>-1</sup> that is corresponded to C-O-C bond of CDs. 104

### 2.3 Preparation of liposomes loaded with phenolphthalein

25 mg/mL of DPPC and 25 mg/mL of CL lipids (both in chloroform) were mixed 106 to obtain a solution with DPPC : CL ratio of 80 : 20 (weight %). The removal of chlo-107 roform was conducted by evaporation at 50-55°C using a vacuum rotary evaporator. 108 The obtained thin lipid film in glass flask was dispersed in 10<sup>.6</sup> M phenolphthalein 109 solution (0.02 M sodium phosphate buffer solution, pH 7.4). The liposomes (3 mg/ml 110 of lipids) were sonicated at a frequency of 22 kHz three times for 200 s each using a 111 homogenizer (4710 model, Cole-Parmer Instrument, USA) at 50–55°C. Unloaded 112 phenolphthalein was removed by dialysis (MWCO Serva 3.5 kDa membrane) for 3 h 113 at 25°C with intense shaking and periodic renewal of the external solution 114(500-600 mL 0.02 M sodium phosphate buffer solution, pH 7.4). 115

# 2.4 The release kinetics of phenolphthalein from the liposomes

2 ml of liposome solution (3 mg/ml of lipids, DPPC : CL = 80 : 20 (weight%)) 117 loaded with phenolphthalein was transferred to an Orange Scientific dialysis bag 118 (MWCO 3.5 kDa), which was placed in 2 ml of 0.02 M sodium borate buffer (pH 10.7). 119 Solutions of all CDs, CDpols (CCD torus in final solution was 30 mg/mL) or Triton X-100 120 were added to the liposome solution by adding to the dialysis bag. The systems were 121 stirried for 1.5 hours at 37 ° C. UV-spectra of the external solution were recorded 122 every 10-15 minutes. 123

### 2.5 **Preparation of LV-CDs and LV-CDpols complexes**

CDs and CDpols were dissolved in 0.1 mM HCl pH 4.0. LV's solution in the 125 same pH was added to CD carriers' solution to obtain molar ratio CD torus : LV = 1:1. 126 The complexes were stirred for 1h at 37°C. To study the interaction of LV-CD or 127 LV-CDpol with the liposomes or bacterial cells, the prepared complexes were diluted with a 0.02 M sodium phosphate buffer solution (pH 7.4) immediately before the ex-129 periment. 130

### 2.6 Nanoparticle Tracking Analysis (NTA)

NTA was performed using the Nanosight LM10-HS instrument (Nanosight Ltd., 132 United Kingdom). The CDpols' samples were diluted by Milli-Q purified water to 133 obtain the solutions with a ~ 10<sup>8</sup> particles/mL. The measurements were carried out 134 three times for each sample, and the values are reported with standard deviations. 135

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2.7. Molecular weight of CDpols
We determined CDpols' Mr by the following formula:
$Mr = \frac{[CD] \times N_A}{n} \times Mr_m$
The number of the particles $n$ (particles/mL) was determined by NTA [22 Other variables are: the concentration of CD torus [CD] (mole/mL) in accordance with dilution, Avogadro constant $N_A$ , and molecular weight of the repeating ur (CD and linker) $Mr_m$ .
2.8 Dynamic light scattering (DLS)
DLS was used to determine ζ-potentials of the samples (Zetasizer Nano «Malvern» with 4 mW He–Ne-laser, 633 nm; United Kingdom). The experimen were performed at 25°C using the correlation of the Correlator system K7032-0 «Malvern» (United Kingdom) and software «Zetasizer Software». The measuremen were carried out three times for each sample, and the values are reported with standard deviations.
2.9. UV-spectroscopy
The UV-spectroscopy was conducted using Ultrospec 2100 pro instrume (Amersham Biosciences, Germany). The spectra of released phenolphthalein we recorded within a wavelength range from 400 to 650 nm in a 1 mL quartz co (Hellma Analytics). The concentration of phenolphthalein was determined at wav length 550 nm.
2.10. NMR spectroscopy
<sup>1</sup> H NMR spectroscopy was conducted using Bruker Avance 400 spectrometer (Germany). 5-10 mg of the sample was dissolved in D <sub>2</sub> O, and <sup>1</sup> H NMR spectra was recorded with operating frequencies of 400 MHz. Chemical shifts ( $\delta$ ) in ppm are reported as quoted relative to the residual signals of D <sub>2</sub> O (4.79 ppm).
2.11 Fluorescence Spectroscopy
Emission spectra of LV were recorded using Varian Cary Eclipse fluorimet (United States). The measurements were carried out at $\lambda_{ex}$ = 289 nm within a way length range of 400– 550 nm. LV demonstrates the peak with the maximum at 4 nm.
2.12 The FTIR spectroscopy
FTIR spectroscopy was conducted using a Tensor 27 instrument (Bruker, Ge many) that is equipped with a liquid-nitrogen-cooled MCT detector, a thermost (Huber, United States), attenuated total reflection cell (Bruker, Germany), ZnSe si gle-reflection crystal. The spectra of the samples (40-50 μL) were recorded thr times (70 scans each time) within a range of 3000–900 cm <sup>-1</sup> at a resolution of 1 cm <sup>-1</sup> 22°C. Dry air was pumped through the system by the air compressor (Jun-A Germany). The background (buffer solution) was recorded in the same manner. The spectra were analyzed using the Opus 7.0 software.
2.13 Powder X-ray Diffraction (PXRD) Analysis
PXRD patterns of 5 mg of LV or its complexes with CD carriers were recorde on a Rigaku SmartLab (Japan) equipped copper X—ray anode tube. The scannir

#### range was 1.5–80.0° with a step size of 5° per second. X-rays were generated with 178 settings 60 kV and 1.5 kW. 179

2.14 in vitro experiments using Escherichia coli, Lactobacillus fermentum and Bacillus subtilis 180 bacterial strains 181

We used the overnight culture (the bacteria were cultured in Luria Bertuni (LB) 182 liquid medium for 12 hours, expect Lactobacillus fermentum 90T-C4, for which De 183 Man, Rogosa and Sharpe agar was used). The determination of minimum inhibition 184 (MIC) concentration was performed in solid media by agar well diffusion method 185 [23]. Briefly, 500 µL of overnight cell's culture was distributed over the surface of 186 agar on Petri dishes. Then, 6 wells 9 mm in diameter were incised in the medium by 187 sterile plastic pipette tip. The 50  $\mu$ L of the samples (LV's concentration was 0.01 – 188 0.5 μg/mL for all *E. coli* strains and *B. subtilis* ATCC 6633; 20 – 100 μg/mL for 189 L. fermentum 90T-C4) was put in the wells. In 20 minutes, the plates were placed into 190 the incubator at 37 °C. After 24 h the appeared inhibition zones were analyzed. The 191 experiments were carried out three times for each sample, and MIC values are re-192 ported with standard deviations. 193

For the ultrastructural studies of bacteria, the pelleted cells (900µL of the over-194 night culture) were fixed with 2.5% glutaraldehyde solution (100 mM sodium caco-195 dylate) for 24 h. Then, bacterial pellets were rinsed three times for 5 min in 100 mM 196 sodium cacodylate under slight agitation and were post-fixed with 1% OsO4 (100 197 mM sodium cacodylate) for 1 h at +4°C. The fixed cells were dehydrated by increas-198 ing ethanol concentration (50%-70%-96%). The ethanol was replaced by acetone, and 199 was followed by epoxy resin-acetone mixtures with increasing resin content. After 200 replacing the mixture with pure resin (Spi-pon 812, SPI Supplies), the samples were 201 exposed at +70°C for 48 h. Ultrathin sections with a nominal thickness of 80 nm were 202 prepared using Reichert-Jung Ultracut E ultramicrotome equipped with a Diatome 203 Ultra 45 diamond knife. The sections were mounted on the formvar-coated copper 204 slot-grids, and post stained with lead citrate for 3 min. 205

For the study of bacterial surface morphology the negative staining was con-206 ducted. 15 µL of the bacterial suspension in water were placed on the 207 frormvar-coated 200 mesh copper grids for 60-90 seconds. The drops were blotted 208 with the filter paper. Then,  $10 \ \mu$ L of 1% aqueous phosphotungstic acid were applied 209 onto the grids for 20 seconds and blotted with the filter paper. Finally, the stained 210 samples were air-dried. 211

For TEM micrograph of CDpols' adsorption on B.subtilis we preincubated 212 5 mg/mL HPCDpol with 0.1 M copper (II) sulfate solution for 1 h. We centrifuged the 213 sample (Eppendorf Minispin, 10000 rpm/min, 10 min) and removed unbonded cop-214 per (II) sulfate solution. HPCDpol-Cu<sup>2+</sup> was washed 3 times using Milli-Q purified 215 water. The 900 µL of overnight *B.subtilis* were centrifuged (Eppendorf Minispin, 7000 216 rpm/min, 5 min) and washed 3 times with Milli-Q purified water. Then, the HPCD-217 pol-Cu2+ was added to bacteria cells. After 20 minutes of incubation 25  $\mu$ L of the 218 sample was placed on the copper grids for 40-60 seconds. The drops were blotted with the filter paper, and the sample was dried in air. 220

The samples then were analyzed and imaged on JEM-1400 electron microscope 221 (Jeol, Japan) running at 80 kV and equipped with Quemesa digital camera (OSIS, 222 Germany). 223

### 2.13. The LV-CD and LV-CDpols adsorption on the cells

The adsorption curves were obtained using the overnight bacteria culture (~ 225  $8 \times 10^8$  colony forming units (CFU) / mL). The 900  $\mu$ L of bacteria were centrifuged 226

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(Eppendorf Minispin, 7000 rpm/min, 5 min) and washed 3 times with sterile 0.02 M 227 Na-phosphate buffer solution buffer (pH 7.4). The samples containing different 228 amounts of LV-CD or LV-CDpols in sterile buffer (pH 7.4) were added to the bacteria 229 pellets and mixed properly. After 1 hour of incubation (37°C, 100rpm), the samples 230 were centrifuged (7000 rpm/min, 5 min), and the emission spectra of supernatant 231 was recorded. 232

### 3. Results and discussion

For in-depth study of CDs and CDpol interaction mechanisms with liposomal 234 and cell's membranes, we chose oligosaccharides with different substituents (Figure 235 1): 2-hydroxypropyl- $\beta$ -cyclodextrin with a polar uncharged substituent (HPCD), 236 methyl-β-cyclodextrin with a nonpolar uncharged substituent (MCD), negatively 237 charged sulfobutyl ether β-cyclodextrin (SBECD) and positively charged ami-238 no-β-cyclodextrin (AMCD). We selected well-known fluoroquinolone levofloxacin 239 (LV), as a model drug molecule suitable for «guest-host» complex formation with CD 240 [6]. LV is widely used in an antibacterial therapy of various infections, including 241 COVID-19-associated pneumonia [24,25]. 242

β-cyclodextrin's derivatives

#### **Composition of liposomes**





**Figure 1.** The structure of the  $\beta$ -cyclodextrin derivatives and their polymers, lipids used for liposomes production, levofloxacin. 246

We synthesized CDpols using 1,6-hexamethelenediisocyanate at low molar excess of crosslinking agent to obtain soluble polymers that can be used as a carriers for drug delivery. 247

### 3.1. Characterization of CDs' and CDpols' physical-chemical properties

The size, charge and the structure of CDs and CDpols might affect the interaction between the carrier and the membrane, so we studied the parameters by nanoparticle tracking analysis (NTA) and DLS (Table 1). As expected, HPCD and MCD 253 are uncharged, while SBECD and AMCD demonstrate negative and positive 254  $\zeta$ -potential, respectively. Thus, we are able to investigate the influence of CD's 255 charge on its interaction with bilayer. 256

CD's crosslinking with 1,6-hexamethelenediisocyanate leads to formation of 257 homogeneous nanoparticles with the average molar mass ~100–130 kDa and hy-258 drodynamic diameter of 100-150 nm. MCDpol demonstrates the highest mass and 259

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particle's size. Among all CDs MCD have the lowest number of available hydroxyl260groups that react with cross-linking agent, so MCDpol might have linear polymeric261network, whereas other CDpols – more branched polymeric network.262

Table 1. The size and  $\zeta$ -potential of CD, CDpol and their complexes with liposomes, pH 7.4 (0.02 M 264 Na-phosphate buffer solution), 22°C.

	Size, nm	ζ-potential,	Mr, kDa	The number	ζ-potential of lipo-
		mV		of CDs per 1	somes
				particle	+ CD, mV
HPCD	0.15 <sup>1</sup>	$0.5 \pm 0.1$	1.5	_	$-18.2 \pm 4.4$
HPCDpol	$110 \pm 15$	$9.2 \pm 1.2$	$100 \pm 15$	$67 \pm 11$	$-14.3 \pm 3.3$
MCD	$0.15^{1}$	$0.7 \pm 0.2$	1.2	-	$-19.6 \pm 3.4$
MCDpol	$165 \pm 15$	$22.9 \pm 1.2$	$130 \pm 15$	$100 \pm 15$	$-12.2 \pm 2.3$
SBECD	$0.15^{1}$	$-7.7 \pm 0.5$	2.1	-	$-24.8 \pm 3.9$
SBECDpol	$110 \pm 15$	$-13.4 \pm 0.9$	$115 \pm 13$	$65 \pm 8$	$-14.2 \pm 3.3$
AMCD	$0.15^{1}$	$6.2 \pm 1.2$	1.6	-	$-18.5 \pm 3.6$
AMCDpol	$122 \pm 17$	$10.2 \pm 2.2$	$122 \pm 15$	$70 \pm 11$	$-13.5 \pm 2.2$
Liposomes DPPC:Cl = 80:20 (weight %)	$105 \pm 7$	-20.9±3.2	_	_	-

<sup>1</sup> the size of CD derivatives is assumed to be close to the size of parent CDs [26].

Interesting, that CDpols (except SBECDpol) have pronounced positive 267  $\zeta$ -potential. This effect might be explained by spontaneous hydrolysis of 268 1,6-diisocyanate [27] during CDpol's synthesis and the formation of positively 269 charged functional groups that contribute to the  $\zeta$ -potential of the polymers. In case 270 of SBECD multiple negatively charged sulfo groups might give a greater contribution that overlaps the positive charge value. 272

The structure of the polymers was studied by FTIR and NMR spectroscopy 273 (Figure S1). In the <sup>1</sup>H NMR spectra of CDpols, we observed the peaks of monomer's 274 unit and also the signals that were correlated with the methylene groups of the at-275 tached linker [28,29]. To estimate the number of attached linkers per one CD torus, 276 the spectra were normalized by a signal corresponding to the H1 D-glucopyranose 277 unit (doublet 5.25 ppm and 4.96 ppm): on average there are 2-3 spacers per oligo-278 saccharide, i.e., polymers are not tightly cross-linked. It is important to note that in 279 the concentrations required to obtain NMR spectra, CDpols do not completely dis-280 solve in D<sub>2</sub>O, so the obtained values may be slightly underestimated. 281

In CDpol's FTIR spectra we observed the appearance of 1575 cm<sup>-1</sup> peak that 282 correspond to amide bond in the urethane group. That means the synthesis per-283 formed in accordance with the scheme in Figure 1. Furthermore, the CDpol's syn-284 thesis causes the appearance of the shoulders of the absorption bands that corre-285 spond to C-O-C in glucopyranose residues (1030 – 1040 cm<sup>-1</sup>). The changes in the 286 microenvironment of C-O-C bands between glucopyranose residues in CDs deriva-287 tives after crosslinking might be the reason for that. The 951 cm<sup>-1</sup> peaks determined 288 in CDpol's spectra correspond to C-N bond in amines. Isocyanate groups hydrolysis 289 leads to amine formation that contribute to the positive ζ-potential (Table 1). 290

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Thus, we obtained two groups of samples that possess different size (~0,1 nm 291 and 100-150 nm), mass (~1,2 – 2 kDa and ~120-150 kDa) and surface charge (positive, 292 negative, neutral). These parameters might affect carrier's interaction with biological 293 membranes. 294

### 3.2. Interaction of liposomes with CDs and CDpols

At first, we studied the effect of CD and CDpols on the integrity of model biological membrane – liposomal bilayer (DPPL/CL = 80/20 (weight %)). CDs and 297 CDpols adsorb on liposomes that can be monitored by DLS:  $\zeta$ -potential of liposome 298 (-20 mV) slightly increases by surface charge neutralization (Table 1). The CD carrier's adsorption might lead to the changes in membrane properties. 300

For instance, CDs cause the defects in liposomal bilayer, but still it is not clear 301 which CD's parameter is crucial for this effect. The occurrence of defects in the lipo-302 somal bilayer and violation of the integrity of liposomal membrane are studied by a 303 number of authors by the analysis of the release kinetics of dyes from the vesicles 304 [30]. We loaded anionic liposomes (DPPL/CL = 80/20 (weight %)) with indicator 305 phenolphthalein (PP) at pH 7.4 (colorless solution). Liposomes were separated from 306 the external solution by a dialysis membrane. The defects of liposomal membrane 307 cause the PP's release, that can be detected in the external buffer solution with a pH 308 of 10.7 (pink solution) at a wavelength of 550 nm (Figure 2.A). 309

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Figure 2. UV spectra of phenolphthalein (A), pH 10.7 (0.02 M Na-borate buffer solution), 22°C. The312release kinetics of PP from liposomes DPPC:CL = 80:20 (weight %) after adding CD (B) or CDpol313(C),  $C_{CD torus} = 30 \text{ mg/mL}$ , pH 7.4 (0.02 M Na-phosphate buffer solution), pH 10.7 (0.02 M Na-borate314buffer solution), 37°C.315

Control liposomes (in the absence of CD) release about 40% of PP in 120 minutes 316 (Figure 2.B and C, black curves). For complete destruction of liposomes (100% release of PP), the well-known surfactant Triton X-100 (0.4% by weight) was added. 318

Triton X-100 significantly accelerates PP's release: 100% of the indicator is released 319 after 20 minutes (Figure 2.B and C, yellow curves). 320

When CD's derivatives are added, a sustained PP's release is observed (Figure 321 2.B), compared to the control, apparently due to CD's adsorption on the liposomes 322 and the formation of the defects in the bilayer. It is important to note that the highest 323 release rate is characteristic of HPCD and AMCD. This is probably due to the fact 324 that HPCD has the largest number of hydroxyl groups in its structure, and conse-325 quently, more hydrogen bonds are formed between the phosphate groups of lipids 326 and -OH groups of HPCD. AMCD has a positively charged amino group (Table 1), 327 so electrostatic interactions are expected between the charged -NH2 of AMCD and 328 negatively charged lipid heads. This is in good agreement with our previous re-329 search [31]; we demonstrated the interaction between  $PO_2^{-1}$  groups of the lipids with 330 -OH and -NH<sub>2</sub> groups on chitosan and chitosan-mannose conjugate by FTIR spec-331 troscopy. 332

Thus, CD's adsorption on the liposomal membrane plays an important role for 333 the formation of defects in the bilayer. According to the degree of occurrence of de-334 fects we have ranked CDs: AMCD >> HPCD > MCD ~ SBCD. 335

In case of CDpols (Figure 2.C), the release acceleration is 2 times higher than for 336 CDs during first 60 minutes. Most likely, CDpol's particles have more functional 337 groups for the interaction with the vesicles. Moreover, polymer particles might have 338 a higher charge density that also affects the occurrence of defects. For instance, 339 AMCD and AMCDpol have almost the same effect on membrane integrity due to the 340 close ζ-potential values (Table 1). 341

Thus, CDpol causes two-times more defects in liposomal bilayer than CDs. Such 342 effects can lead to the bacterial membrane damage and/or contribute to improving of 343 drug penetration through biological barriers, therefore, increase its antibacterial ac-344 tivity. 345

### 3.3. Antibacterial activity of LV and its complexes with CD's derivatives

For in vitro studies we chose fluoroquinolone levofloxacin (LV) as a 347 broad-spectrum antibacterial agent [32] that forms «guest»-«host» complexes with 348 CD's carriers [33]. First, in order to discover the effectiveness of LV's incorporation 349 into CD and CDpols in solid state we have obtained powder X-ray diffraction 350 (PXRD) patterns (Figure 3) as a gold standard procedure described for CD complexes 351 e.g. in [34]. The PXRD pattern of LV (navy blue line) corresponds to a crystalline LV 352 (CCDC code: YUJNUM02 deposited on Cambridge Structural Database) [35]. The 353 PXRD patterns for MCD (red) and MCDpol (green) are mainly of amorphous phase 354 which is in a good agreement of previously published data [36]. When LV is com-355 plexed with both CD carriers, PXRD pattern changes significantly: degree of crystal-356 linity measured at the integral intensities of the «halo» and crystalline peaks is 357 around 20%. Previously we have observed this type of changes for HPCD complex 358 with another fluoroquinolone moxifloxacin [6]. Thus, in the solid state the main part 359 of LV is complexed with CD. 360



Figure 3. Experimental PXRD patterns for LV (navy blue), MCD (red) and MCDpol (green);362LV-MCD (brown) and LV-MCDpol complexes (baby blue). From 35° to 80° no more signals were363observed.364

We investigated the effect of CD's carriers on LV's properties *in vitro* on five 365 bacterial strains: gram-negative *Escherichia coli* MH1, *E.coli* JM109, *E. coli* ATCC 25922 366 and gram-positive *Lactobacillus fermentum* 90T-C4 and *Bacillus subtilis* ATCC 6633. It 367 is known that gram-negative bacteria have outer lipid membrane, whereas 368 gram-positive cells have peptidoglycan, so we expected especially pronounced effects on *E.coli* [37]. 370

Agar well diffusion method was used to study antibacterial properties LV's 371 formulations (Figure 4.A) as one of the most robust technics that allows to assess a 372 set of drug's concentrations simultaneously [38,39]. 373



Figure 4. A. The diameters of inhibition zones (mm) on Petri dishes with free LV and its complexes375with SBECD and SBECDpol in the concentration range 50–100  $\mu$ g/mL, Agar well diffusion method,376L. fermentum 90T-C4 pH 7.4 (0.02 M Na-phosphate buffer solution), 37°C, 24 h of incubation.377B,C. The area of inhibition of *E. coli ATCC 25922* bacterial growths depending on the concentration378of the drug, Agar well diffusion method, pH 7.4 (0.02 M Na-phosphate buffer solution), 37°C, 24 h379of incubation.380

Minimum inhibition concentration (MIC) was assumed as LV's concentration at 381 which the inhibition area of bacteria equals the area of the removed agar disk. Table 2 represents MIC values for all bacterial strains. 383

MIC values differ significantly not only between bacterial species, but also 384 within bacterial strains. Among *E. coli* strains the lowest MIC values 0.1  $\mu$ g/mL is 385 observed for *E. coli* MH1 and *E. coli* ATCC 25922 and the highest for *E. coli* JM109 (1 386  $\mu$ g/mL). Higher resistance of *E. coli* JM109 might be associated with *gyrA96* mutation 387 [20,40] that is the main target of LV [41]. For gram-positive bacteria MIC*L. fermentum* 90T-C4 388 is more than 23 times higher than MIC*B. subtilis* which seems beneficial since lactobacilli 389 are associated with probiotic properties [42]. 390

All studied CDs and CDpols possess no antibacterial effect. This result is in 391 good agreement with previous studies of these CD's derivatives [12,43]. Neverthe-392 less, CD's substituent play a key role in CD's in vitro properties. In the study [44] the 393 novel alkylamino CD's were synthesized that differ by the length and branching of 394 terminal alkyl chain. The authors demonstrate CD's strong antibacterial activity de-395 pending on the number of carbon atoms in alkyl chain (from 4 to 7). The amino-CDs 396 bearing alkyl groups consisting of 5-6 carbon atoms exhibit the highest antibacterial 397 activity, equivalent to that of natural melittin (antibiotic peptide). If the number of 398 carbon atoms is 4, then almost no effect is observed. These results are in good 399

# agreement with our study, since we used the CD's derivatives with small terminal 400 alkyl group (–CH3 with one carbon atom) or terminal polar/charged groups. 401

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**Table 2.** MIC values (μg/mL) for LV's formulations, Agar well diffusion method, pH 7.4 (0.02 M403Na-phosphate buffer solution), 37°C, 24 h of incubation.404

	E.coli	E.coli	E.coli	L. fermentum	B. subtilis
	MH1	JM109	ATCC 25922	90T-C4	ATCC 6633
LV	$0.1 \pm 0.02$	$1 \pm 0.1$	$0.1 \pm 0.02$	45 ± 3	$0.2 \pm 0.03$
MCD	-	-	-	-	-
AMCD	-	-	-	-	-
HPCDpol	-	-	-	-	-
MCDpol	-	-	-	-	-
LV-HPCD	$0.12 \pm 0.02$	0.8±0.2	$0.05 \pm 0.01$	$22 \pm 3$	$0.15 \pm 0.02$
LV-MCD	$0.1 \pm 0.02$	$0.8 \pm 0.2$	$0.05 \pm 0.01$	22 ± 2	$0.14 \pm 0.01$
LV-SBECD	$0.08 \pm 0.02$	$1 \pm 0.1$	$0.07 \pm 0.02$	$20 \pm 2$	$0.15\pm0.02$
LV-AMCD	$0.08 \pm 0.02$	$1.1 \pm 0.2$	$0.07 \pm 0.02$	$25 \pm 3$	$0.13 \pm 0.03$
LV-HPCDpol	$0.1 \pm 0.02$	$1 \pm 0.1$	$0.1 \pm 0.02$	$45 \pm 3$	$0.18 \pm 0.02$
LV-MCDpol	$0.13 \pm 0.02$	$1 \pm 0.1$	$0.1 \pm 0.02$	$45 \pm 3$	$0.15 \pm 0.02$
LV-SBECDpol	$0.1 \pm 0.02$	$0.9 \pm 0.1$	$0.1 \pm 0.02$	$45 \pm 2$	$0.13 \pm 0.03$
LV-AMCDpol	$0.12 \pm 0.02$	$1 \pm 0.2$	$0.1 \pm 0.02$	$36 \pm 3$	$0.15 \pm 0.03$

LV-CD complexes demonstrate lower MIC values than free LV for both 406 gram-positive and gram-negative cells. For E. coli MH1, E. coli JM109 and B. sub-407 tilis ATCC 6633 we observed only the slight or almost no CD's influence on LV's 408 MIC. However, for *E. coli* ATCC 25922 and *L. fermentum* 90T-C4 CDs lowers MICLV 409 dramatically (in almost 2 times). The increase of drug's action and decrease of it MIC 410 was also observed for CD's complexes with essential oils (carvacrol and 411 2-Pentanoylfuran) [45]. This effect might be explained by the increase of drug's sol-412 ubility, adsorption of LV-CD complexes on bacteria, the occurrence of membrane 413 defects and/or facilitated LV's diffusion into the cells. Nevertheless, no specific in-414 fluence of CD's substituent on the LV's activity was detected. All CDs demonstrate 415 similar effect. 416

MICs LV-CDpols are close or slightly lower than MICLV. Less significant influence 417 on LV's action for CDpols comparing to CD might be explained by the fact that large 418 particles have limited diffusion through agar media, therefore, the antibacterial activity is comparable to the bacterial activity of LV. 420

Hence, the CDs and CDpols increase LV's antibacterial activity on 421 gram-positive and gram-negative bacteria. For understanding the mechanism of 422 such effect, we investigated the cells' state during LV-carrier exposure. 423

### 3.4. The influence of CDs and CDpols on bacteria's features

First, we studied the cells' composition by FTIR that is a powerful tool for differentiation and identification of bacteria. The FTIR spectra of cells (Figure 5) have a 426

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number of distinctive peaks that are typical for all bacterial species [46]. The considerable region is 1300 – 900 cm<sup>-1</sup> that contains signals from several cells' components, 428 including DNA and phospholipids, as well as strong absorbance bands from complex sugar modes. Significant, intense bands within this region have been reported 430 for different bacteria and assigned to the cell-wall components [47,48]. 431



Figure 5. FTIR spectra of L. fermentum 90T-C4, B. subtilis ATCC6633 and E. coli ATCC 25922 in the433range  $2300 - 900 \text{ cm}^{-1}$  of pure cultures of bacteria, ~  $10^{11}$  CFU/mL, H2O, 22°C.434

Three studied gram-negative *E.coli* strains demonstrate the same position and 435 close intensity of the peaks. For L. fermentum 90T-C4 1218 cm<sup>-1</sup> and 1079 cm<sup>-1</sup> bands 436 are remarkably intense comparing to *E.coli*. The finding was expected since these 437 peaks are correlated with polysaccharides that are contained to a large extent in 438 gram-positive bacteria's cell wall [46]. Interesting, that for gram-positive B. subtilis 439 ATCC6633 and gram-negative E.coli we observed similar characteristics of its FTIR 440 spectra that might be related to the special features of *B. subtilis*. Similar composition 441 of *E.coli* and *B. subtilis* might lead to the close MIC values. 442

We have recently demonstrated that FTIR spectroscopy is a powerful tool to 443 study the interaction between HPCD and liposomal membrane [18]. Briefly, HPCD 444 caused the changes in the position of the bands, that corresponded to CH<sub>2</sub>, PO<sub>2</sub><sup>-</sup> and 445 C=O groups in the FTIR spectra of liposomes. Thus, we expected to observe the 446 changes in bacteria's FTIR spectra that can appear due to CD's administration: 447 mainly 1300 – 900 cm<sup>-1</sup> region that correspond to cell's surface. However, interactions 448 of CDs or CDpols with bacteria did not lead to the changes in cells' spectra (CCD torus ~ 449 500 µg/mL). We suppose that, unlike liposomes, where lipids are more accessible for 450 interaction with CD carriers, bacterial membrane have a number of proteins and the 451 interaction is more complicated. Or the carriers might have mild effect on bacteria 452 that can not be studied by FTIR spectroscopy, so we conducted the ultrastructural 453 studies by transmission electron microscopy (TEM). 454

TEM is an efficient tool to examine the living, dead and dividing cells [12]. What 455 is more interesting in our case, one can detect the changes in bacteria's structure and 456 determine the mechanism of substance action. For instant, chitosan damages bacterial membrane [49]; peptide nisin causes partial cell-wall detachment from the 458 plasma membrane [50] and leaking cell contents [51]; andrographolide derivative 459

leads to the formation of large cytoplasmic aggregates, cell elongation with abnormal cell septation, cytoplasmic disintegration, and finally cell lysis [52].

The control B. subtilis ATCC6633's ultrastructure demonstrates homogeneous 462 bacteria state: all cells are in the same growth phase (Figure 6. A,E). On the micro-463 graphs of bacteria exposed by LV, LV-SBECD, or LV-SBECDpol for 24 hours (Fig-464ure 6. B-D, F-H) the total number of cells is lower than in the control culture. Bacteria 465 demonstrates the morphological heterogeneity and many dead cells were detected, 466 however the majority of the bacterial cells retained a typical structural feature. 467



Figure 6. The TEM micrographs of B. subtilis ATCC6633: overnight culture, cells incubated with LV, LV-SBECD or LV-SBECDpol for 24 hours at 37 °C. CLV = 2,5 µg/mL. Scale bar – 1 µm (A-D) and 471 5 µm (E-H). 472

Still, no specific effect of CD or CDpols on the morphology the cell wall, mem-473 brane or cytoplasm is detected that proves - CDs might only have a mild effect on 474 the cell's membrane. The defects that may occur are not lethal for cells, so there must 475 be other reasons for the 2-times increase of LV's activity in vitro. One of the main 476 factor might be associated with carrier's adsorption on the bacteria surface resulting 477 in a high local concentration of the drug. 478

### 3.4. Adsorption of LV-CDs and LV-CDpols on bacteria

As we have shown in previous section electrostatic interaction between the lip-480 osomal membrane and CD based carrier is one of the main factor of their interaction. 481 Thus, we studied  $\zeta$ -potential of the bacterial surface (Table 3). 482

Table 3. ζ-potential (mV) of bacterial cells, pH 7.4 (0.02 M Na-phosphate buffer solution), 22°C.

E.coli	E.coli	E.coli	L. fermentum	B. subtilis
MH1	JM109	ATCC 25922	90T-C4	ATCC 6633
$-36.8 \pm 4.5$	$-35.5 \pm 5.6$	$-34.7 \pm 4.8$	$-11.2 \pm 0.5$	$-23.1 \pm 0.5$

All bacteria possess pronounced negative  $\zeta$ -potential that is in good agreement 485 with literature data [37,53]. Gram-positive bacteria demonstrate higher charge than 486 gram-negative, so we expected better LV-CDs and LV-CDpols adsorption on L. fer-487 mentum 90T-C4 and B. subtilis ATCC6633. Nevertheless, cells and liposomes have 488 comparable  $\zeta$ -potential values, and we expected to determine similar tendencies of 489

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CD's interaction with the bacterial membrane, which were observed when studying CD-liposomes.

The adsorption curves are presented as the dependence of absorbed LV on the added LV's concentration (Figure 7). Comparing the slopes of linear curves, we found that for gram-negative strains the formation of LV-CD complexes leads to the increase of LV's absorption, whereas for gram-positive bacteria the opposite trend is 495 observed. This unexpected result indicates the importance of bacterial membrane in 496 the interaction with CD's carriers. 497



Figure 7. The absorption curves of LV, LV–CD and LV-CDpol on bacterial strains, ~ 1×10<sup>9</sup> cells/mL, pH 7.4 (0.02 M Na-phosphate buffer solution), 1 hour of incubation, 37°C.

On average among all LV-CD complexes, HPCD demonstrates the most pro-501 nounced increase of LV's adsorption on bacteria. As HPCD demonstrated high ac-502 celeration of PP's release from liposomes, we consider electrostatic interactions are 503 not the main factor for CD's adsorption on bacterial cells. The mechanism of interac-504 tion between CDs and multi-component bacterial membrane must be studied in de-505 tails. 506

For all bacterial strains LV-CDpols are adsorbed better than LV-CD, which con-507 firms the results obtained during the experiment with PP's release from liposomes 508

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(Figure 2). On average, polymers are adsorbed 15% more effectively for *E.coli*, in 2.3 509 times for lactobacilli and in 5 times for *B. subtilis*. That indicates LV-CDpols are more 510 promising drug carriers than LV-CD. 511

The most significant effect on LV's adsorption is observed for HPCDpol and 512 MCDpol that possess the highest  $\zeta$ -potential (Table 1). So, for CDpols electrostatic 513 interactions plays the key role of CDpol – cell's membrane interactions. We suppose 514 multiple charged functional groups help CDpols to be retained at many binding sites 515 on cells. 516

The differences between the morphology of bacteria surface might also influence LV-CDs and LV-CDpols adsorption, so we studied the bacteria surface by TEM. 518

### 3.5. The influence of bacterial cell's morphology on LV forms adsorption

The Figure 8 demonstrates TEM micrographs of bacterial strains. All 520 gram-negative E. coli strains possess a number of long (approximately 5 µm) flagella, 521 more than one for each cell. In addition, E. coli JM109's and E.coli ATCC 25922's 522 surface is all covered with proteinaceous fimbria that are 300-1000 nm in length. 523 Visually E. coli ATCC 25922 is characterized by more numerous fimbriae that are 524 thinner and shorter compared to the E. coli JM109. Fimbria mainly help the bacteria 525 to adhese and transfer genetic information [54] that might improve adhesion of LV's 526 forms. Indeed, for E. coli JM109 and E. coli ATCC 25922 the adsorption is in almost 2 527 times higher than for *E. coli* MH1 (Table S1). 528

In contrast, gram-positive *L. fermentum* 90T-C4 do not have flagella or fimbriae. 529 Cells' surface is smooth and homogeneous, while *E. coli* is characterized by a «rough» 530 surface. The micrograph of lactobacilli also clearly shows the thick cell wall of peptidoglycan. *B. subtilis* ATCC6633 demonstrated low adhesion ability on the 532 formvar-coated EM grids that might be due to lipophilic bacteria capsule. The micrographs uncovered only a numerous flagella (data not shown). 534

The smooth surface of gram-positive bacteria might be the reason for/cause of the lower adhesion on the cells, whereas the «rough» surface of gram-negative bacteria with fimbria increases the adhesion of both LV-CD and LV-CDpols demonstrated in previous section (Figure 7).

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Figure 8. The morphology of bacterial surface: *E. coli* MH1 (A, B), *E.coli* JM109 (C, D), *E. coli* ATCC54225922 (E, F), *L. fermentum* 90T-C4 (G, H). Purple arrows indicate fimbria and red arrows are for543flagella. Scale bars – 1 µm (A-E) and 2 µm (F-H).544

### 3.5. Visualisation of CDpols adsorbtion on bacteria

To confirm the CDpols adsorption on bacterial cells we visualized the adhesion 546 by TEM. Due to high solubility and a lack of the heavy atoms, CD's visualization by 547 TEM is complicated. Some authors obtain metal complexes [55] or use the drug with 548 aromatic core with additional contrast by sodium phosphotungstate [56]. We obtained HPCDpol-Cu<sup>2+</sup> using copper (II) sulfate. However, even contrasted polymer 550 was challenging to visualize. 551

In order to avoid desorption of particles from the cells' surface, no phosphotungstic acid was used. In such conditions, bacteria appear as black oval shapes with light grey areas. No flagella or fimbria are visible. Earlier Nadtochenko et al. demonstrated similar bacteria image [57].

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B A **B.** subtilis **ATCC 6633** D L. fermentum 90T-C4

Figure 9. The TEM microphotographs of HPCDpol-Cu<sup>2+</sup> particles adsorption on *B*. subtilis ATCC 6633 (A-B) and L. fermentum 90T-C4 (C-D), H2O, 20 min of incubation, 37°C, C(Cu<sup>2+</sup>) ~ 0.001M, 80 mV. Scale bars – 1 μm (C) and 2 μm (A, B, D).

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According to the results, HPCDpol-Cu<sup>2+</sup> forms aggregates, but does not possess 566 any selectivity to the bacterial surface: the particles distribute evenly over the cell's 567 surface. No visible defects in bacterial surface or cell's shape are observed, that 568 proves that CDpols have a mild effect on bacteria. Besides the defects in cell's sur-569 face, CD and CDpols adsorption on bacteria lead to high local concentration of LV 570 that, in consequence, lowers MICLV in almost 2 times. Thus, the formation of 571 CD-drug complexes is of great interest to develop drug formulation with increased 572 antibacterial action. 573

### 4. Conclusions

Here, we studied the interaction of biomembranes (both liposomal and bacteri-575 al) with drug carriers CDs and CDpols that differ in size, mass and ζ-potential (Table 576 1). The crosslinking of CDs with 1.6-hexamethelenediisocyanate lead to the for-577 mation of the urethane nanoparticle with terminal charged NH<sup>2</sup> groups, which form 578 due to the hydrolysis of isocyanine groups. We found that CD and CDpols adsorb on 579 liposomal bilayer (DPPC / CL = 80 / 20 (weight %)) and cause the defects. Pro-580 nounced positive ζ-potential ( > 10 mV), multiple -OH groups and nanoparticle 581 structure increase the defects occurrence in 2 times. In case of bacterial membrane the 582 interaction is more complicated. 583



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PXRD experiments discovered that the main part of drug LV is complexed with the CD matrix. For LV-CD's adsorption on bacteria electrostatic interactions are not the main factor, whereas for LV- CDpols adsorption is associated with multiple electrostatic interactions between positively charged –NH2 groups and many binding sites on cells' surface.

We demonstrate that the morphology of bacterial cell plays an important role in LV-carrier adhesion. The gram-positive bacteria with smooth surface adsorb less LV-carrier than gram-negative bacteria *E. coli* with «rough» surface and protein fimbria. Nevertheless, even without fimbria the outer membrane of the gram-negative bacteria prefers the absorbance of LV-CD than LV. Comparing LV-CDs and LV-CDpols, polymers are adsorbed 15% more for *E.coli*, in 2.3 times for lactobacilli and in 5 times for *B. subtilis*.

CD and CDpols lowers MICLy in almost 2 times. TEM approaches proves that 596 CD carriers are not lethal for bacteria, but mild defects may occur that cannot be detected. We consider that CD and CDpols might extract lipids and/or proteins from 598 bacterial surface that might cause mild damages in the membrane and, as a consequence, lead to the increase of LV's antibacterial action. 600

Supplementary Materials:The following supporting information can be downloaded at:602www.mdpi.com/xxx/s1, Figure S1 (<sup>1</sup>H NMR and FTIR spectra of MCD and MCDpol); Table S1 (The603slopes of adsorption curves).604

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Conflicts of Interest: The authors declare no conflict of interest.	619
Abbreviations	620

The following abbreviations are used in this manuscript:

CD	Cyclodextrin
CDpol	Polymer based on cyclodextrin
HPCD	2-hydroxypropyl-β-cyclodextrin
MCD	Methyl-β-cyclodextrin
SBECD	Sulfobutyl ether β-cyclodextrin
AMCD	Amino-β-cyclodextrin
LV	Levofloxacin
CL	Cardiolipin
DPPC	Dipalmitoylphosphatidylcholine
PP	Phenolphthalein
MIC	Minimum inhibition concentration
CFU	Colony-forming units
FTIR	Fourier transform infrared spectroscopy

TEM	Transmission electron microscopes
UV	Ultraviolet spectroscopy
NTA	Nanoparticle data analysis
DLS	Dynamic light scattering
<b>PXRD</b>	Powder X-ray Diffraction

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